



Virginia Auctioneers Board
AUCTIONEER FIRM LICENSE APPLICATION

A check or money order payable to the **TREASURER OF VIRGINIA**, or a completed credit card insert available at <http://www.dpor.virginia.gov/dporweb/forms/fin/creditcard.pdf> must be mailed with your application package.
APPLICATION FEES ARE NOT REFUNDABLE.

Select the **one** license action you are requesting on this application.

| Type of Action | VA License No. | Trans | Fee | X |
|---------------------------------|----------------|-------|----------|--------------------------|
| Original Firm License | | 1020 | \$55.00 | <input type="checkbox"/> |
| Reinstatement of a Firm License | | 4020 | \$115.00 | <input type="checkbox"/> |

A COMPLETED AUCTION FIRM SURETY BOND FORM MUST ACCOMPANY THIS LICENSE APPLICATION.

- Firm Name _____
- Trade or Fictitious Name * _____

* If a Trade or Fictitious Name is to be used, a copy of the certificate filed with the State Corporation Commission or locality pursuant to § 59.1-69 of the *Code of Virginia* must be included with this application.

- Federal Employer Identification No. -
- Street Address (PO Box not accepted) _____
 City, State, Zip Code _____
- Mailing Address (PO Box accepted) _____
 City, State, Zip Code _____
- E-mail Address _____
- Contact Numbers _____
 Primary Telephone _____ Alternate Telephone _____ Facsimile _____

- Does the firm have an expired auction firm license issued by the Virginia Auctioneers Board? If you are reinstating a firm license, you are required to meet all current entry requirements.
 No
 Yes Virginia License Number _____ Expiration Date _____

- Type of business (select only **one**)
 Partnership
 Association If your business is a sole proprietorship, you cannot apply for a Firm License on this application. You must apply for an Auctioneers License as an individual.
 Limited Liability Company
 Corporation

- Is the auction firm authorized (in accordance with the laws of the Commonwealth of Virginia) to conduct business in Virginia?
 Yes
 No **If no, this application cannot be processed.**

All corporations and limited liability companies (including out-of-state businesses) who wish to conduct business in Virginia must register with the Virginia State Corporation Commission (SCC) prior to applying for licensure with the Virginia Auctioneers Board. Certified true copies of the articles of incorporation, bylaws, and charter issued by the Virginia SCC must be submitted with this application. Out-of-state businesses must also include the certificate of authority issued by the Virginia SCC.

| | | | | | |
|------------------------------------|----------------------|------------|---------|---|---|
| <i>Licensing section use only:</i> | SCC Registration No. | Issue Date | Active? | Y | N |
|------------------------------------|----------------------|------------|---------|---|---|

| FOR OFFICE USE ONLY | DATE | FEE | TRANS CODE | ENTITY # | APPLICATION # | FILE# / LICENSE # | ISSUE DATE |
|---------------------|------|-----|------------|----------|---------------|-------------------|------------|
| | | | | | | 2908 | |

11. Enter the name and title of a principal member of your business management (a registered agent, a partner of your partnership, an officer or director of an association, a manager of your limited liability company, or an officer of your corporation).

| First Name | Middle Name | Last Name | Generation | Title |
|------------|-------------|-----------|------------|-------|
|------------|-------------|-----------|------------|-------|

12. Has your business ever been subject to disciplinary action imposed by any (including Virginia) local, state or national regulatory body?

No

Yes If yes, please provide a certified copy of the final order, decree or case decision by a court or regulatory agency with lawful authority to issue such order, decree or case decision.

13. Has the business ever been convicted in any jurisdiction of **any felony or misdemeanor**? *Any guilty plea or plea of nolo contendere must be disclosed on this application.*

No

Yes If yes, list the felony and/or misdemeanor conviction(s). Attach the original criminal history record and any other information you wish to have considered with this application (i.e., information on the status of incarceration, parole or probation; reference letters; documents of rehabilitation; etc.). If necessary, you may attach a separate sheet of paper.

Certified copies of court records may be obtained by writing to the Clerk of the Court in the jurisdiction in which convicted. The address is available from your local police department.

Original criminal history records may be obtained by contacting the state police in the jurisdiction in which convicted. Virginia residents must complete a criminal history record request form in the presence of a notary public and mail it to the Department of State Police, Central Criminal Records Exchange, PO Box 27472, Richmond, VA 23261-7472.

By signing this application, you acknowledge that if you are not a Virginia resident, or move outside of Virginia while you hold a Virginia Auction Firm License, you understand that this application serves as a written power of attorney, whereby you appoint the Director of the Department of Professional and Occupational Regulation, and his/her successors in office, to be your true and lawful agent and attorney-in-fact, in your stead, upon whom all legal process against and notice to you may be served and who is hereby authorized to enter an appearance in your behalf in any case or proceedings arising out of the trade or profession practiced; and that by submitting this application you hereby agree that any lawful process against you which is duly served on said agent and attorney-in-fact shall be of the same legal force and validity as if served upon you.

14. I, the undersigned, certify that the foregoing statements and answers are true, and I have not suppressed any information that might affect the Board's decision to approve this application. I will notify the Department if the firm is subject to any disciplinary action or convicted of any felony or misdemeanor charges (in any jurisdiction) prior to receiving the requested license. I certify that I understand, and have complied with, all the laws of Virginia related to auctioneer licensure under the provisions of Title 54.1, Chapter 6 of the *Code of Virginia* and the *Virginia Auctioneers Board Regulations*. I also certify that I understand this affidavit.

Manager's Signature _____ Date _____

Signature of individual listed in #11.

Notarization

In the State of _____, City/County of _____, subscribed and sworn

Before me, the undersigned Notary Public in and for the City/County aforesaid this _____ day of

_____, 20 ____.

My commission expires the _____, day of _____, 20 ____.

Affix official seal here.

Signature of Notary Public