Commonwealth of Virginia Department of Professional and Occupational Regulation 9960 Mayland Drive, Suite 400 Richmond, Virginia 23233-1485 (804) 367-8511 www.dpor.virginia.gov



Board for Contractors CHANGE IN LICENSE CLASS APPLICATION

A check or money order payable to the <u>TREASURER OF VIRGINIA</u>, or a completed <u>credit card insert</u> must be mailed with your application package. APPLICATION FEES ARE NOT REFUNDABLE.

FINANCIAL REQUIREMENTS: Class A & Class B Applicants

Class A & Class B Applicants must provide proof of financial responsibility. Class A applicants must document \$45,000 in net worth/equity. Class B applicants must document \$15,000 in net worth/equity. Applicants who do not meet these requirements <u>may</u> qualify for a Class C license.

Select the one license type requested.

2705 - Change an existing	Financial Documentation Included (select only <u>one</u>)		
Class A (from a Class B or C)	9050	\$425.00*	Financial Statement Form
Class B (from a Class A)	9052	\$405.00*	CPA review/audit
Class B (from a Class C)	9051	\$405.00*	Surety Bond Form
Class C (from a Class A or B)	9053	\$260.00*	N/A

* License fee may be adjusted per designation selection. (See question #13.A.)

1. Provide your <u>current</u>* Virginia Contractor's license issued by the board

7

- If you currently do not hold a valid Virginia Contractor's license, you can not proceed with this application.
- 2. Business Entity/Sole Proprietor Name
 - A sole proprietor should enter his/her full legal name and the company name should be entered below as the assumed/fictitious name. All names must be the same as displayed on government issued ID or organization/business documents.

2

- 3. Assumed or Fictitious Name
 - If an assumed/fictitious name is to be used, a copy of the certificate filed with the Virginia State Corporation Commission (SCC) pursuant to §59.1-69 of the Code of Virginia must be attached to this application.
- 4. A. Type of business entity (select only one)

Sole Proprietorship	General Partnership	Solely Owned LLC	Corporation
Limited Partnership	Limited Liability Company	Other, please	specify:

<u>Other</u>: Association, Business Trust, Government Agency, Joint Venture, Limited Liability Partnership, Non Profit, Professional Corporation, or Professional Limited Liability Company.

- B. State Corporation Commission (SCC) Number: (If applicable)
- All businesses in Virginia must be registered with the SCC (including all out-of-state businesses). Firms/Businesses shall be organized as business entity under the laws of the Commonwealth of Virginia or otherwise authorized to transact business in Virginia. No *person, partnership, limited liability company or corporation* shall conduct or transact business in this Commonwealth under any assumed or fictitious name unless register with the Virginia SCC.

For additional information, contact the SCC at <u>www.scc.virginia.gov</u> or by phone at (804) 371-9733.

OFFICE	DATE	FEE	TRANS CODE	ENTITY # FILE #/LICENSE #		ICENSE #	ISSUE DATE
USE ONLY					2705		
BOARD USE ONLY	S	CC	ETS	CLASS A	CLASS B	VIRGINIA	TECHNICAL
A501-27	CHLIC-v14		•	•		Board for Contractors	/CH LIC CLASS APP

5.	Provide <u>one</u> of the fo	llowing identification nu	umbers ^米 :			
	Business Federa	al Employer Identification	Number (EIN) �			7
	 Board for Contractor 	or's requires verification from	n the IRS. (www.irs.gov)			
	Sole Proprietor's	/Individual's Social Secur	ity Number and/or	-		
	<u>Virginia</u> Departn	nent of Motor Vehicles Co	ontrol Number			
		fication number as used on pre-	vious applications or licenses on f	ile with the department.		
				to provide a federal employer identificat control number issued by the Virginia		
6.	Mailing Address (PO	Box accepted)				
	The mailing addre					
	printed on the I	icense.	City		State	Zip Code
7.	Street Address (PO E	Box <u>not</u> accepted)	Check here if Street Addre	ess is the <u>same</u> as the Mailing Address	s listed above).
	PHYSICAL ADDR	RESS REQUIRED				
		ī	City		State	Zip Code
8.	Contact Numbers					
~		Primary Telephor	ie Alter	nate Telephone	Fa	Х
9.	Email Address				fue use a their d	
40	D			nd will be disclosed upon request		
10.	•			I(s) or any member of Resp ation from any jurisdiction (or any jurisdiction)		-
	No					virginia):
		complete the following	table			
	y ==;	siness/Individual Full		License, Certification or		
	Bu	Legal Name	State/Jurisdiction	Registration Number	Expira	ation Date

11. List <u>all</u> **Responsible Management** (sole proprietor, partners of a general partnership, managing partner of a limited partnership, officers/directors of an association, managers/members of a limited liability company, or officers of a corporation):

Individual's Full Legal Name	Title	Address	Social Security No. or VA DMV Control No.*	Date of Birth

Required Documentation: Must attach a legible copy of a government issued photo ID for all members of Responsible Management.

12. All Class C applicants, skip to question #13.

All Class A & Class B license applicants must declare a **Designated Employee** who has successfully completed the appropriate business examinations and is either a full-time employee (not a 1099 employee) of the business or a member of Responsible Management. For licensure information, contact the Board for Contractor's by phone at 804-367-8511 or email at <u>contractor@dpor.virginia.gov</u>.

<u>Required examinations per class</u>: <u>Class A</u> - Advanced, General, and Virginia exam; and <u>Class B</u> - General and Virginia exam.

Complete the following information for the **Designated Employee** of this business:

Full Name

Date of Birth

<u>Required Documentation</u>: If the Designated Employee is <u>not</u> a member of Responsible Management, attach a <u>legible</u> copy of a government issued photo ID <u>and</u> provide fulltime employment verification (I9, W2, or other similar documentation).

Provide either Social Security No. or VA DMV Control No.*:

Exam Date

Social Security or Virginia DMV Number (123-45-6789)

- 13. Below is a chart listing the license classifications and specialty designations issued by the Virginia Board for Contractors. A definition of the type of work that each of these classifications and designations may perform is available in the Board for Contractors Regulations.
- Each business will select a classification/specialty designation for which they are applying for and provide <u>one</u> Qualified Individual for each designation in section A or B below. The Qualified Individual must meet the following criteria:
 - 1. Must be either a fulltime employee of the business (working a minimum of 30 hours or more with the business) or who is listed as a member of Responsible Management.
 - Must have the minimum years of experience in the classification or specialty they are applying 2 years for a Class C License, 3 years for a Class B License and 5 years for a Class A License. An <u>Experience Verification Form</u> must be submitted for each Qualified Individual who is requesting a designation that requires pre-approval for an examination.
 - 3. Have successfully completed the appropriate prerequisite for the classification or specialty designation selected below. The prerequisite for each is listed in the box below. For more information on these please see the <u>Requirements for the Qualified Individual Form</u>.

Chart is listed on the following page.

Applica	ants must hold a Certification for	the follo	wing classification and/or speci	<u>alty:</u>	
BEC	Blast/explosive	MHC	Manufactured home contracting	RMC	Radon mitigation
SPR	Fire sprinkler				
Applica	ants must hold a valid license iss	ued fron	n DPOR for the following design	ation:	
ADS	Alternative Disposal System	ELE	Electrical	LPG	Liquefied petroleum gas
ASB	Asbestos	EEC	Elevator/escalator	NGF	Natural gas fitting provider
ASC	Accessibility Services	GFC	Gas fitting	PLB	Plumbing
ASL	Accessibility Services with LULA	HVA	HVAC	WWP	Water well/pump
CDS	Conventional Disposal System	LAC	Lead abatement		
Applic	ants are required to be pre-appro	ved and	pass an examination for the foll	owing cla	assification and/or specialty:
AES	Alternative energy systems	FAS	Fire alarm systems	BRK	Masonry
PAV	Asphalt paving & seal coating	FSP	Fire suppression	PTC	Painting & wall covering
BSC	Billboard/sign	FLR	Flooring & Floor Cover'g Contrac	ting RFC	Recreational facility
CBC	Commercial Building	FRM	Framing Sub Contractor	REF	Refrigeration
CIC	Commercial improvement	GLZ	Glass & Glazing Contracting	RBC	Residential Building
CEM	Concrete	H/H	Highway/heavy	ROC	Roofing
DLR	Drug, Lab, Remediation	HIC	Home Improvement	STL	Steel Erection Contracting
DRY	Drywall Company	IBC	Industrial building contracting	POL	Swimming pool construction
ESC	Electronic/communication service	INS	Insulation & Weather Stripping	TMC	Tile, Marble, Ceramic
EMW	Environmental monitoring well		Contracting		& Terrazzo Contracting
ENV	Environmental specialties	ISC	Landscape irrigation	UUC	
EMC	Equipment/machinery	LSC	Landscape services		& Excavating Contracting
FIC	Farm improvement	MCC	Marine facility	VCC	Vessel construction
FIN	Finish Carpentry Contracting		-		

* All qualified individuals must submit an *Experience Verification Form* for these designations.

Applicants are required to receive special approval by the Board for the following specialty:

MSC Miscellaneous Contracting

A. Are you applying for a Commercial Building Contractor (CBC) classification, and/or a Commercial improvement (CIC) specialty; with no other classification/specialty requested for this license?

No If no, complete section 13.B.

Yes If yes, complete the following table*: (Do not complete question #13.B.)

* Modification to your application fee is as follows: Class A: \$400.00** Class B: \$380.00** Class C: \$235.00**

** Contractor's Recovery fund fee is not required for CBC/CIC only.

Select	3-letter Code	Last Name	First Name	MI	Years of Exp.	Social Security No. or VA DMV Control No. *	Birth Date
	CBC						
	CIC						

Required Attachment: Complete an Experience Verification Form for each Qualified Individual listed in this table.

B. If you answered "no" in Section A, select <u>all</u> the license classification and specialty designations you are requesting for this license:

(This section can include CBC/CIC designation, but only if your request includes other classification/specialties. There is <u>no fee reduction to</u> <u>your application fee</u>. Contractor's Recovery fund fee is required for all other classification/specialty designations.)

3-letter Code	Last Name	First Name	MI	Years of Exp.	Exam Date	Social Security No. or VA DMV Control No.*	VA Qualifying License No. (if applicable)	Birth Date

> Any business requesting a license may have more than one classification or specialty designation.

<u>Required Attachment:</u> Complete an <u>*Experience Verification Form*</u> for each Qualified Individual who is seeking pre-approval for a designation that requires an examination (only). <u>IF applying for the MSC specialty</u>, provide the Board for Contractors with all required documentation to support your request for this designation.

- 14. Has this **Business, Designated Employee, Qualified Individual(s) or Responsible Management** ever been subject to a <u>disciplinary action</u> taken by <u>any</u> (including Virginia) local, state or national regulatory body?
 - No 🗌
 - Yes If yes, complete the Disciplinary Action Reporting Form.
- 15. A. Has this **Business, Designated Employee, Qualified Individual(s) or Responsible Management** ever been convicted or found guilty, regardless of the manner of adjudication, in any jurisdiction of the United States of any <u>felony</u>?
 - No
 - Yes If yes, complete the <u>Criminal Conviction Reporting Form.</u>
 - B. Has this **Business, Designated Employee, Qualified Individual(s) or Responsible Management** been convicted or found guilty, regardless of the manner of adjudication, in any jurisdiction of the United States of any non-marijuana <u>misdemeanor</u> within the last 3 years?

- Yes If yes, complete the Criminal Conviction Reporting Form.
- 16. During the past five years, has any member of **Responsible Management** ever had any <u>outstanding/past-due debts</u> (including child support arrearage); judgments; liens; past due 'unpaid' claims or suits; outstanding tax obligations; defaults on bonds; or pending/past bankruptcies?
 - No
 - Yes If yes, complete the <u>Adverse Financial History Reporting Form.</u>
- 17. Do all members of **Responsible Management** understand that all Class A, Class B and Class C Contractors must comply with the local licensing requirements of all counties, cities and towns in which work is performed?
 - No IF NO, THIS APPLICATION CANNOT BE PROCESSED.
 - Yes 🗌
- 18. <u>Class A & Class B applicants only:</u>

Does this business/firm meet the minimum net worth/equity requirements? (At least \$15,000 for Class B applicants or \$45,000 for Class A)

- No If no, the firm may qualify for a Class C license.
- Yes If yes, the firm is required to submit a complete (a) <u>Financial Statement Form</u>, (b) CPA review/audit, OR (c) <u>Surety Bond Form</u> with this application.

By signing this application, you acknowledge that if you are not a Virginia resident, or move outside of Virginia while you hold a Virginia Contractors License, you understand that this application serves as a written power of attorney, whereby you appoint the Director of the Department of Professional and Occupational Regulation, and his/her successors in office, to be your true and lawful agency and attorney-in-fact, in your stead, upon whom all legal process against and notice to you may be served and who is hereby authorized to enter an appearance on your behalf in any case or proceedings arising out of the trade or profession practiced; and that by submitting this application, you hereby agree that any lawful process against you which is duly served on said agent and attorney-in-fact shall be of the same legal force and validity as if served upon you.

- 19. By signing this application, I certify the following statements:
 - I am aware that submitting false information or omitting pertinent or material information in connection with this application will delay processing and may lead to license revocation or denial of license.
 - I will notify the Board of any changes to the information provided in this application prior to receiving the requested license, certification, or registration including, but not limited to any disciplinary action or conviction of a felony or misdemeanor (in any jurisdiction).
 - I authorize the Department to verify information concerning me or any statement in this application from any person, or any source the department may desire. I also agree to present any credentials or documents required or requested by the Department.
 - I authorize any federal, state or local government agency, current or former employer, or other individual or business to release information which may be required for a background investigation.
 - I have read, understand and complied with all the laws of Virginia related to this profession under the provisions of Title 54.1, Chapter 11, of the Code of Virginia and the Virginia Board for Contractors Regulations.

Signature(s) of all members of Responsible Management (required):

(sole proprietor, partners of a general partnership, managing partner of a limited partnership, officers/directors of an association, managers/members of a limited liability company, or officers of a corporation)

I certify that I am a member of responsible management as defined in 18VAC50-22-10 of the Board for Contractors regulations and am authorized to bind the applicant to contracts and other legal obligations.

1.	Print Name			Title	
2.					
	Signature				Dete
3.	Print Name			Title	
4.					
	Signature				
5.					
6.					
	Signature				Data
		Photocopy th	nis page if additional sig	natures are needed.	
<u>Sigr</u>	nature of De	signated Employee:	(Who is listed on th Management)	nis applications and	not a member of Responsible
1.	Print Name			Title	
	Signature				

<u>Signature(s) of Qualified Individual</u>: (Who are listed on this application and not a member of Responsible Management)

1.	Print Name	 Title	
	Signature		Date
2.	Print Name	 Title	
	Signature		Date
3.	Print Name	Title	
	Signature	 	Date
4.	Print Name	Title	
	Signature		Date
5.	Print Name	Title	
	Signature		Date
	0	 	

Photocopy this page if additional signatures are needed.

ATTACHMENTS: (Check all attachments/documentation included with this application)

Attach a copy of Government Issued Photo IDs for each member of **Responsible Management**, **Designated Employee**, and all **Qualified Individuals** listed on this application. (Photo must be legible)

- Any Designated Employee or Qualified Individual listed on this application must submit verification of employment (I9, W2 or others) if not a member of Responsible Management.
- All Businesses/Sole Proprietorship with an assumed/fictitious name must <u>attach a copy of the certificate</u> filed with the Virginia State Corporation Commission (SCC) pursuant to <u>§59.1-69</u> of the *Code of Virginia*. question #3.

Attached documentation verifying business FEIN number - question #5.

Designated Employee completed the business examination? - question #12

- Qualified Individual(s) must attach a copy of any certifications if required question #13.B.
- All required documentation to support the special request for the **Miscellaneous Contracting (MSC)** designation shall be submitted with this application package. This specialty is limited to a single activity and will be restricted to that specialty only question #13.B
- All applicants for Class A or Class B license types must submit ONE of the following: (a) <u>Financial Statement Form</u>. (b) CPA review/audit <u>OR</u> (c) <u>Surety Bond Form</u>. question #18
- Experience Verification Form completed for each Qualified Individual who is seeking pre-approval for an examination (only) per the designation requested questions #13.A or 13.B.
- All disclosure forms and supporting documentation questions # 14-16